

Docket No.

259560US1PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DT03 1st PCT/PTO 1.4 JAN 2005

#5

IN RE APPLICATION OF: Martin KONEMANN, et al.

SERIAL NO: 10/509,944

GAU:

FILED: October 4, 2004

EXAMINER:

FOR: CYCLIC COMPOUNDS AND THE USE THEREOF AS LIGHT ABSORBERS, LIGHT EMITTERS, OR
COMPLEX LIGANDS

MAIL STOP PCT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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U.S. PCT Application Serial No.: 10/509,944
Docket No.: 259560US0PCT

STATEMENT OF RELEVANCY

- 1) References AA, AB, AW have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AO-AS, AX-AZ are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Rec'd PCTO SHEET 1 OF 14 JAN 2005

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 259560US0PCT		SERIAL NO. 10/509,944	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT					
		Martin KONEMANN, et al.		FILING DATE		GROUP	
October 4, 2004							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 481 945	12/02/69	OBERMAYER, Arthur S. et al.			
	AB	5 180 821	01/19/93	OBERMAYER, Arthur S. et al.			
	AG						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	01 08230	02/01/01	WO (equivalent of US 6310360)	YES	NO	
	AP	101 24 585	05/02/02	DE (equivalent of US 6641889)	YES	NO	
	AQ	43 25 247	02/02/95	DE (equivalent of US 5472494)	YES	NO	
	AR	10 2004 004 813	08/12/04	DE (equivalent of US 2004/0194665)	YES	NO	
	AS	0 554 971	08/11/93	EP (equivalent of US 5954870)	YES	NO	
	AT				YES	NO	
	AU				YES	NO	
	AV				YES	NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	TAUER, Erich. "Preparation of New Cyclic Quaterbenzoxazole and -Imidazole Derivatives", Synthesis, no. 6, pages 723-725, XP002239393 2002					
	AX	KRAFT, Arno et al. "Elektrolumineszierende konjugierte Polymere - Polymere erstrahlen in neuem Licht", Angew. Chem. vol. 110, pages 416-443 1998					
	AY	TANG, C.W. et al. "Organic electroluminescent diodes", Appl. Phys. Lett., vol. 51, no. 12, pages 913-915 1987					
	AZ	BALDO, M. A. et al. "Phosphorescent materials for application to organic light emitting devices", Pure Appl. Chem. vol. 71, no. 11, pages 2095-2106 1999				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							